

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
11 May 2006 (11.05.2006)

PCT

(10) International Publication Number  
**WO 2006/050459 A2**

(51) International Patent Classification:  
*A61B 17/00* (2006.01) *A61F 2/24* (2006.01)

(21) International Application Number:  
PCT/US2005/039834

(22) International Filing Date: 27 October 2005 (27.10.2005)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/622,765 28 October 2004 (28.10.2004) US

(71) Applicant (for all designated States except US): **COOK INCORPORATED** [US/US]; 750 Daniel's Way, P.O. Box 489, Bloomington, IN 47402 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **FLAGLE, Jacob, A.** [US/US]; 4170 Basil Avenue, Indianapolis, IN 46239 (US). **CASE, Brian, C.** [US/US]; 841 Rosewood Drive, Bloomington, IN 47404 (US).

(74) Agents: **BENEFIELD, Michael, A.** et al.; **WOODARD, EMHARDT, MORIARTY, MCNETT & HENRY LLP**, Bank One Center/Tower, Suite 3700, 111 Monument Circle, Indianapolis, IN 46204 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SI, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Declarations under Rule 4.17:**

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

**Published:**

- with declaration under Article 17(2)(a); without abstract; title not checked by the International Searching Authority

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHODS AND SYSTEMS FOR MODIFYING VASCULAR VALVES

(57) Abstract:

WO 2006/050459 A2

## METHODS AND SYSTEMS FOR MODIFYING VASCULAR VALVES

### STATEMENT OF RELATED APPLICATIONS

5

This application claims the benefit of priority of U.S. Provisional Patent Application Serial No. 60/622,765 filed October 28, 2004 which is incorporated herein by reference in its entirety.

10

### BACKGROUND

The present invention relates generally to a method for modifying vascular valves. One aspect of the present invention provides a method for modifying the leaflets of one or more venous valves with an extracellular matrix (ECM) material in order to enhance the valve or valves.

As further background, vascular vessels are comprised of tissue and are the conduit for circulating blood through a mammalian body. A vascular vessel that carries blood from the heart is known as an artery. A vascular vessel that returns blood to the heart is known as a vein. There are three types of veins in a human: deep veins, which are located deep in the body close to the bones, superficial veins, which are located close to the skin, and perforating veins, which are smaller veins that connect the deep veins to the superficial veins.

To assist blood flow, venous vascular vessels contain venous valves. Each venous valve is located

inside the vein and typically includes at least two valve leaflets, which are disposed annularly along the inside wall of the vein. These leaflets open to permit blood flow toward the heart and close, upon a change in pressure, to restrict the back flow of blood. When blood flows towards the heart, the venous pressure forces the valve leaflets to move apart in a downstream flexing motion, thereby creating an open path for blood flow. The leaflets normally flex together when moving in the upstream direction; therefore, they return to a closed position to restrict or prevent blood flow in the upstream, or retrograde, direction after the venous pressure is relieved. The leaflets, when functioning properly, extend radially inward toward one another such that the leaflet tips, or cusps contact each other when the valve is closed.

On occasion, and for a variety of reasons, such as congenital valve or vein weakness, disease in the vein, obesity, pregnancy, and/or an occupation requiring long periods of standing, one or more valves in a vein will allow deleterious retrograde flow to occur. When a valve allows such retrograde flow, blood will collect, or pool in vessels below the valve. This pooling of blood causes an increase in the venous pressure beneath the valve. Venous valves that allow such deleterious retrograde flow are known as incompetent or inadequate venous valves. The condition resulting from such incompetent venous valves is known as venous valve insufficiency.

In the condition of venous valve insufficiency, the venous valve leaflets do not function properly. Incompetent venous valves can cause the veins to bulge, can cause swelling in the patient's lower extremities,

and can result in varicose veins and/or chronic venous insufficiency. If left untreated, venous valve insufficiency can cause venous stasis ulcers of the skin and subcutaneous tissue.

5       A common method of treatment for venous valve insufficiency is the placement of an elastic stocking around the patient's leg to apply external pressure to the vein, forcing the walls radially inward to force the leaflets into apposition. Although sometimes  
10       successful, the tight stocking is quite uncomfortable, especially in warm weather, because the stocking must be constantly worn to keep the leaflets in apposition. The elastic stocking also affects the patient's physical appearance, thereby potentially having an  
15       adverse psychological affect. This physical and/or psychological discomfort can lead to the patient removing the stocking, thereby inhibiting treatment.

      Surgical methods for treatment of venous valve insufficiency have also been developed. A vein with  
20       incompetent venous valves can be surgically constricted to bring incompetent leaflets into closer proximity in hopes of restoring natural valve function. Methods for surgical constriction of an incompetent vein include implanting a frame around the outside of the vessel,  
25       placing a constricting suture around the vessel (e.g., valvuloplasty), or other types of treatment to the outside of the vessel to induce vessel contraction. Other surgical venous valve insufficiency treatment methods include bypassing or replacing damaged venous  
30       valves with autologous sections of veins containing competent valves.

      Another surgical method includes vein stripping and ligation. In this procedure, the femoral vein and

other major venous tributaries are disconnected from the greater saphenous vein and tied off. Next, the greater saphenous vein is removed from the leg by advancing a wire through the vein, tying the wire to a saphenous vein end, and then pulling the wire, and vein, out through an incision in the upper calf or ankle. Unfortunately, the above surgeries require at least one incision, and have several undesirable side effects and risks, such as a long patient recovery time, the potential for scarring, and numerous other risks inherent with surgery, such as those associated with administration of anesthesia.

Recently, various implantable prosthetic devices and minimally invasive methods for implantation of these devices have been suggested to treat venous valve insufficiency. Such prosthetic devices can be inserted intravascularly, for example from an implantation catheter. Prosthetic devices can function as a replacement venous valve, or enhance venous valve function by bringing incompetent valve leaflets into closer proximity. In one procedure, venous valve function can be enhanced by clipping the valve leaflets together with a clip made from a biocompatible material, such as a metal, polymer, or fabric. In other procedures, venous valve leaflets can be attached using a plastic or metal staple.

Recently, a number of methods have been suggested to treat varicose veins and venous valve leaflets with energy sources, such as radiofrequency (RF) energy. In one such method, valve leaflets can be fastened together with electrodes delivering RF energy. In another such method, a catheter having an electrode tip can be used to apply RF energy to cause localized

heating and corresponding shrinkage of venous tissue. After treatment of one venous section is complete, the catheter can be repositioned to treat a different venous section.

- 5       Methods for treatment of varicose veins have also been developed involving various forms of sclerotherapy. Generally, sclerotherapy involves the delivery of one or more sclerosing agents to the lumen of a vein, which induce the vein to collapse and the  
10       venous walls to fuse, thereby closing the vein.

In view of the above background, the need remains for improved and alternative methods and systems for affecting the venous system to treat venous conditions. The present invention is addressed to these needs.

## SUMMARY

In one aspect, the invention relates to surgical methods for modifying vascular valves. The surgical  
5 methods include the modification of valve leaflets with a remodelable material. Advantageous such remodelable materials include extracellular matrix (ECM) material, such as mammalian small intestine submucosa.

In another aspect, the invention provides a method  
10 for modifying a vascular valve in a patient that includes connecting at least two valve leaflets of a vascular valve in at least one location with a remodelable material, so as to promote tissue of the patient to remodel and connect or fuse the leaflets.  
15 In certain aspects, the remodelable material can include an extracellular matrix material, such as mammalian porcine submucosa.

In another aspect, the invention provides a method for modulating the flow of blood in a vascular vessel  
20 that includes connecting together a region of at least two valve leaflets in a vascular valve with an extracellular matrix material. In certain aspects, the extracellular matrix material can comprise one or more sutures.

25 In yet another aspect, the invention provides a valve modification method that includes clipping venous valve leaflets together with a clip comprising a remodelable material in a manner such that the clip encourages remodeling, thereby causing the valve  
30 leaflets to fuse with patient tissue.

In yet another aspect, the invention provides a method for treating a venous insufficiency in a leg of a patient that includes connecting a first valve

leaflet in a venous valve to a second valve leaflet in the venous valve with at least one bioremodelable material, so as to promote tissue of the patient to remodel the bioremodelable material to connect the first valve leaflet to the second valve leaflet. In certain aspects, the bioremodelable material is a clip for connecting the valve leaflets.

In another aspect, the invention provides a valve modification method involving positioning a remodelable material between two venous valve leaflets, followed by inserting a connector such as a staple through the leaflets and the remodelable material, such that the remodelable material causes the valve leaflets to fuse with patient tissue.

In yet other aspects, the invention provides systems for percutaneously modifying a valve or valves in a vascular vessel with a remodelable material.

The present invention provides improved and/or alternative methods and systems for modifying vascular valves. Additional embodiments and features and advantages of the invention will be apparent from the descriptions herein.



## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a perspective view, in partial cross-section, showing a vascular vessel having both adequate  
5 and inadequate valves.

FIG. 2 is a perspective view taken along line 2-2 in FIG. 1 showing an adequate bicuspid vascular valve.

FIG. 3 is a perspective view taken along line 3-3 in FIG. 1 showing an inadequate bicuspid vascular  
10 valve.

FIG. 4 is a perspective view, in partial cross-section, showing an inadequate bicuspid vascular valve.

FIG. 5 is a perspective view, in partial cross-section, showing a bicuspid vascular valve that has  
15 been modified with a remodelable and/or bioabsorbable material.

FIG. 6 is a perspective cross-sectional view of a vascular vessel showing a bicuspid valve modified in  
one location with a remodelable and/or bioabsorbable  
20 material.

FIG. 7 is a perspective cross-sectional view of a vascular vessel showing a bicuspid valve modified in  
two locations with remodelable and/or bioabsorbable materials.

FIG. 8 is a perspective cross-sectional view of a  
25 vascular vessel showing a remodeled bicuspid vascular valve of the present invention.

FIG. 9 is a perspective cross-sectional view of a vascular vessel showing a remodeled bicuspid vascular  
30 valve of the present invention.

## DETAILED DESCRIPTION

For the purposes of promoting an understanding of the principles of the invention, reference will now be made to certain embodiments thereof and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended, such alterations, further modifications and further applications of the principles of the invention as described herein being contemplated as would normally occur to one skilled in the art to which the invention relates.

As disclosed above, the present invention provides methods that include modifying a vascular valve by attachment of a remodelable material to the valve, such that the remodelable material promotes in-growth of patient tissue into the remodelable material and modifies the function of the valve. The invention also provides methods of delivering remodelable and/or bioabsorbable material to vascular valves, as well as methods of securing remodelable material to vascular valve leaflets.

Turning now to a discussion of venous valves, depending on the stage and severity of venous valve insufficiency, several modes of venous valve failure can exist in one or more valves in one or more vein. For example, in a distended vein, the leaflets may allow undesirable or deleterious retrograde flow because the leaflet cusps no longer proximately locate one another when the valve is in its closed position. Alternatively, one or more valve leaflets may tear, causing only a portion of a leaflet cusp or leaflet to

allow undesirable retrograde flow. Still alternatively, one or more leaflets may degenerate to the point that the leaflets or a portion of the leaflets fail to proximately locate in order to prevent deleterious back flow.

As shown in FIG. 1, more than one venous valve may become inadequate in the same vein. FIG. 1 depicts a portion of a vein 10 containing two inadequate venous valves 7, 15 and three adequate venous valves 5, 15, 17. The venous valves in FIG. 1 are bicuspid valves, each having two valve leaflets, exemplified in valve 5 by numerals 25 and 26. In an adequate venous valve 17, each leaflet 25, 26 extends into the vein 10 lumen and projects downstream A toward the heart. In so doing, each leaflet defines a reservoir 30, which collects blood during retrograde flow forcing each valve leaflet 25, 26 to close. FIG. 2, a cross sectional view taken along line 2-2 in FIG. 1, depicts an adequate venous valve 17 in its closed position. As shown, the lip 35, 36 of each leaflet is located proximate one another in order to prevent undesirable retrograde blood flow.

For a number of reasons, as discussed above, the valve leaflets 27, 28 in an inadequate valve 7 may fail to prevent deleterious retrograde blood flow. When this occurs, at least a portion of the leaflet cusps 37, 38 fail to sufficiently locate proximate one another to prevent the undesirable back flow of blood. One mode of valve failure is displayed in FIG. 4, which shows a cross-section of inadequate valve 7 taken along the valve's vertical centerline. In FIG. 4, it is apparent that the valve cusps or lips 37, 38 do not sufficiently locate at the center or other points along each valve leaflet 27, 28 to adequately prevent

undesirable retrograde blood flow. Another mode of valve failure is displayed in FIG. 3, which depicts a horizontal cross-section taken along line 3-3 in FIG. 1. In FIG. 3, it is apparent that valve cusps 37, 38 do not sufficiently locate across substantially the entire lumen of vessel 10 to adequately prevent deleterious retrograde blood flow. Alternatively, the cusps in an inadequate valve may fail to sufficiently locate at any one or more locations across the vessel lumen to adequately prevent undesirable retrograde blood flow, or a tear or aperture may be present in at least one of the valve leaflets 27, 28 thereby allowing deleterious retrograde flow to occur.

In certain embodiments, a surgical method can include connecting valve leaflets 37, 38 in an inadequate valve 7 with a remodelable material in order to reduce the quantity of retrograde blood flow through that valve. As discussed below, the remodelable material will promote remodeling such that the patient's tissue grows within or into the remodelable material.

Illustratively, a surgical method can include a venous catheterization. Access can be established at a location proximate the patient's ankle, or, alternatively, access may be obtained at any suitable location on the patient's body, such as the neck or knee. In alternative embodiments, access can be established surgically, by performing a cutdown, or other suitable surgical method, to the appropriate vein in any suitable location.

After access is achieved, a remodelable material can be delivered to an inadequate valve. Delivery of the remodelable material to the inadequate valve can be

achieved in any suitable manner, a number of which are known in the art. One such delivery method involves delivery of the remodelable material with a catheter. For example, the material can be placed and held on the distal tip of a gripper, operated by either suction or mechanical means, e.g. claws, and then delivered to the inadequate valve by pushing the gripper and material through the catheter to the valve. For additional information concerning percutaneous delivery and positioning of material, reference can be made, for example, to U.S. Patent/Application Nos. 5,609,598, 5,810,847, 6,149,660, 6,575,971, 6,695,866, 2003/0093071, and/or 2004/0167539.

After delivery to the target site, the remodelable material can be secured to pertinent valve leaflets with a suitable securing device and/or method. For example, the material can be secured using one or more sutures, staples, and/or temporary or permanent clamps, clips, or barbs. If a temporary securing method is used, the device can optionally be percutaneously or surgically retrieved at a later time, or optionally, the device can be made of a bioremodelable and/or bioabsorbable material, such that retrieval is not necessary for removal of the device. In additional embodiments, the remodelable material can be fashioned into a clip, clamp, and/or barb, which is then used to connect or bring together the valve leaflets and promote fusion of the valve leaflets with patient tissue. As such, delivery and placement of the clamp, clip, and/or barb can occur using an instrument, such as a catheter, which can optionally contain multiple clamps, clips, and/or barbs for deployment within the vasculature. Still alternatively, a remodelable

material may be incorporated in a gel or glue that is contacted with the valve leaflets which are brought into contact with and held against each other, e.g. with a suture, clip or clamp and/or by the bonding  
5 function of the gel or glue. Yet still alternatively, in additional embodiments, the remodelable material can comprise a three-dimensional shape that is placed between the leaflets and is secured using a staple or suture, for example a suture made from an ECM material.

10 Multiple techniques are known in the art to suture, staple, and/or clip or fasten tissue percutaneously. For example, a catheter including a gripper with a mechanical claw can be used to place a clip or barb onto or through valve leaflets.  
15 Alternatively, a mechanized suturing device can be inserted through a catheter and delivered to the valve leaflets in order to suture material to each leaflet, or alternatively, suture the leaflets together. Still alternatively, a stapling device can be attached to the  
20 end of a catheter and positioned adjacent to the valve leaflets in order to secure material to those leaflets. For additional information as to clipping, suturing, and/or stapling techniques useful in the present invention, reference can be made, for example, to U.S.  
25 Patent Nos. 5,609,598, 5,584,861, 5,810,847, 6,149,660, 6,695,866, 6,575,971, and/or European Patent Application EP442,588, and/or Great Britain Patent Application GB2,165,559. Still further, energy-driven tissue welding or bonding techniques can be used in  
30 combination with solid or flowable ECM materials to attach one or more portions of the leaflets together such that remodeling promoted by the ECM material fuses the leaflets together. For example, an amount of ECM

material can be positioned between leaflet portions in location(s) where patient-tissue-fusion is desired, and two or more energy-delivering (e.g., RF delivering) probes can be used to force the leaflets against the inner piece or amount of ECM material. The probes can then be used to deliver energy and fuse or bond each leaflet to a respective side or location of the ECM material. Afterward, the ECM material will promote remodeled fusion of the targeted leaflet location(s).

Valve modification can be performed on any one or more vascular valve in any one or more vein or artery as is suitable. In a vein with more than one inadequate valve, the valve located furthest from access can be the first valve modified. In FIG. 1, for example, in certain embodiments, access can be obtained upstream of both inadequate valves 7, 15. In these embodiments, the inadequate venous valve 15 located furthest downstream can be modified before the more upstream inadequate venous valve 7. In alternative embodiments, access can be obtained downstream of both inadequate valves 7, 15. In these embodiments, the inadequate valve 7 located furthest upstream can be modified before the more downstream valve 15. Alternatively, in other embodiments, inadequate venous valves in a common vein can be modified in any suitable order.

When modifying multiple valves in a common vessel, the remodelable or bioabsorbable material, whether it be in the form of a device, such as a clip, layer, and/or gel, can be delivered on an as needed basis or, alternatively, multiple materials can be stored in the delivery device. For example, in embodiments where material is delivered on an as-needed basis, the

delivery device, i.e. gripper, can be removed from the catheter after material delivery, reloaded with new material, and then re-inserted into the catheter as more material is needed. Alternatively, if a delivery  
5 device or catheter containing multiple materials is used, the device does not need to be removed from the vasculature in between valve modifications.

With reference now to FIGS. 5 and 6, illustratively, a venous valve can be modified by  
10 securing a remodelable and/or bioabsorbable material 40 to the leaflets 27, 28 of an inadequate venous valve 45. As depicted in FIG. 6, the material 40 can be first secured to valve leaflet 27, and or lip 37, and then secured to leaflet 28, and or cusp 38, in a manner  
15 such that the open space between leaflets 27 and 28 is reduced. The material 40 can be secured to the leaflets 27, 28 using any suitable securing method discussed above, such as sutures, staples, clips, and/or bonding agents. In certain embodiments, sutures  
20 made from a remodelable material, such as an ECM material, discussed in more detail below, can be used. In alternative embodiments, a material and both leaflets can be simultaneously secured together with one or more suture, staple, and/or clip, such as a  
25 bioabsorbable staple or clip. For example, a material, in solid or gel form, can be placed in between the leaflets and secured for instance by inserting a connector such as a staple, barb, and/or suture through both leaflets and the material or holding the leaflets  
30 together by a device such as a clip or clamp. In still alternative embodiments, the leaflets can be modified by suturing portions or regions of them together with a



remodelable suture, such as a suture made from an ECM material, such as small intestine submucosa.

As is illustratively shown in FIG. 6, the remodelable and/or bioabsorbable material 40 can be placed approximately along the central axis of the venous lumen. However, in other embodiments, the material can be placed in any suitable location or locations along the valve leaflets. For example, FIG. 7 depicts an embodiment where two materials 50, 55 are used to modify a venous valve. Either one or both materials 50, 55 can be a remodelable material or a bioabsorbable material or any suitable combination thereof.

Turning now to FIG. 8, the modified valve of FIG. 6 is shown after a period of time sufficient to allow the patient's tissue to remodel the remodelable and/or bioabsorbable material 40. As shown in FIG. 8, the remodelable material 40 has been replaced with the patient's native tissue 60 in such a manner as to sufficiently maintain the valve leaflets 27, 28 and cusps 37, 38 in their modified position.

Additionally, as shown in FIG. 9, the valve leaflets 27, 28 can be modified in a location approximate the junction of each valve leaflet 27, 28 with the vessel wall 65. The valve in FIG. 9 is shown in its remodeled state, such that the patient's native tissue 60 is maintaining the valve 45 in its modified form.

Valve modification can include the use of one or more remodelable materials, of any suitable shape, size, and/or construction, in any suitable location or locations along the valve leaflets and/or vessel wall. For example, in certain embodiments, the remodelable

material may be placed between portions of two or more valve leaflets and secured in place using suitable glue or bonding agents. Alternatively, the remodelable material may be secured to the upstream side of each valve leaflet, or the upstream side of one or more leaflets and the downstream side of other leaflet(s), as is necessary. Still alternatively, the remodelable material may be secured to the valve leaflets using electrodes equipped with an energy source, such as radiofrequency (RF) energy. The surgical methods of this invention can be used to modify any vascular valve, arterial or venous, monocuspid, bicuspid, tricuspid, or otherwise.

The remodelable materials of the invention can include collagenous extracellular matrix (ECM) materials, such as submucosa, renal capsule membrane, dura mater, pericardium, serosa, peritoneum, or basement membrane. The preferred medical graft products of the invention will include submucosa, such as submucosa derived from a warm-blooded vertebrate. Mammalian submucosa materials are preferred. In particular, submucosa materials derived from animals raised for meat or other product production, e.g. pigs, cattle or sheep, will be advantageous. Porcine submucosa provides a particularly preferred material for use in the present invention, especially porcine small intestine submucosa, more especially porcine small intestine submucosa retaining substantially its native cross-linking.

The submucosa or other ECM material can be derived from any suitable organ or other biological structure, including for example submucosa derived from the alimentary, respiratory, intestinal, urinary or genital

tracts of warm-blooded vertebrates. Submucosa useful in the present invention can be obtained by harvesting such tissue sources and delaminating the submucosa from smooth muscle layers, mucosal layers, and/or other layers occurring in the tissue source. For additional information as to submucosa useful in the present invention, and its isolation and treatment, reference can be made, for example, to U.S. Patent Nos. 4,902,508, 5,554,389, 5,993,844, 6,206,931, and 6,099,567.

As prepared, the extracellular matrix material may optionally retain growth factors or other bioactive components native to the source tissue. For example, the matrix material may include one or more growth factors such as basic fibroblast growth factor (FGF-2), transforming growth factor beta (TGF-beta), epidermal growth factor (EGF), and/or platelet derived growth factor (PDGF). As well, submucosa or other ECM material of the invention may include other biological materials such as heparin, heparin sulfate, hyaluronic acid, fibronectin and the like. Thus, generally speaking, the ECM material may include a bioactive component that induces, directly or indirectly, a cellular response such as a change in cell morphology, proliferation, growth, protein or gene expression. Further, in addition or as an alternative to the inclusion of such native bioactive components, non-native bioactive components such as those synthetically produced by recombinant technology or other methods, may be incorporated into the ECM material.

ECM material used in the invention is preferably highly purified, for example, as described in U.S. Patent No. 6,206,931. Thus, preferred material will

exhibit an endotoxin level of less than about 12 endotoxin units (EU) per gram, more preferably less than about 5 EU per gram, and most preferably less than about 1 EU per gram. As additional preferences, the ECM material may have a bioburden of less than about 1 colony forming units (CFU) per gram, more preferably less than about 0.5 CFU per gram. Fungus levels are desirably similarly low, for example less than about 1 CFU per gram, more preferably less than about 0.5 CFU per gram. Nucleic acid levels are preferably less than about 5  $\mu\text{g}/\text{mg}$ , more preferably less than about 2  $\mu\text{g}/\text{mg}$ , and virus levels are preferably less than about 50 plate forming units (PFU) per gram, more preferably less than about 5 PFU per gram. The ECM material used in the invention is preferably disinfected with an oxidizing agent, particularly a peracid, such as peracetic acid. These and additional properties of submucosa taught in U.S. Patent No. 6,206,931 may be characteristic of the ECM material used in the present invention.

The remodelable ECM or other material may include one or more radiopaque markers or a radiopaque coating or impregnation to assist in visualization of the material during a non-invasive procedure. For example, radiopaque substances containing tantalum, barium, iodine, or bismuth, e.g. in powder form, can be coated upon or incorporated within the ECM or other remodelable material.

The remodelable material of the invention may also include a synthetic material, such as a bioabsorbable synthetic polymer, such as polylactic acid or polycaprolactone, for example. The remodelable material may also be a combination, or hybrid, of ECM

material and synthetic material. For further information concerning suitable bioabsorbable synthetic materials useful in certain embodiments of the invention, reference can be made, for example, to U.S. Utility Patent Application titled, "Implantable Frame with Variable Compliance," filed on April 11, 2005 (5 "Express Mail" Mailing Label No. EV 327 135 804 US).

It is also possible for an ECM-based remodelable material used in the invention to comprise a multilaminate ECM material. To form a multilaminate material, two or more ECM segments are stacked, or one ECM segment is folded over itself at least one time, and then the layers are fused or bonded together using a bonding technique, such as chemical cross-linking or vacuum pressing during dehydrating conditions. (10 15

In accordance with aspects of the invention, an adhesive, glue or other bonding agent may be used in achieving a bond between ECM layers, and/or ECM material and a valve leaflet and/or patient tissue, such as a vessel wall. Suitable bonding agents may include, for example, collagen gels or pastes, gelatin, or other agents including reactive monomers or polymers, for example cyanoacrylate adhesives. As well, bonding can be achieved or facilitated using chemical cross-linking agents, such as glutaraldehyde, formaldehyde, epoxides, genipin or derivatives thereof, carbodiimide compounds, polyepoxide compounds, or other similar agents. Cross-linking of ECM materials can also be catalyzed by exposing the matrix to UV radiation, by treating the collagen-based matrix with enzymes such as transglutaminase and lysyl oxidase, and by photocross-linking. The combination of one or more (20 25 30

of these with dehydration-induced bonding may also be used.

In accordance with another aspect of the invention, different drying or dehydration methods can be used to fuse ECM portions of the bioremodelable material. In one preferred embodiment, the multiple layers of ECM material are compressed under dehydrating conditions. The term "dehydrating conditions" is defined to include any mechanical or environmental condition which promotes or induces the removal of water from the ECM material. To promote dehydration of the compressed ECM material, at least one of the two surfaces compressing the matrix structure can be water permeable. Dehydration of the ECM material can optionally be further enhanced by applying blotting material, heating the matrix structure or blowing air, or other inert gas, across the exterior of the compressing surfaces. One particularly useful method of dehydration bonding ECM materials is lyophilization, e.g. freeze-drying or evaporative cooling conditions.

Another method of dehydration bonding comprises pulling a vacuum on the assembly while simultaneously pressing the assembly together. This method is known as vacuum pressing. During vacuum pressing, dehydration of the ECM materials in forced contact with one another effectively bonds the materials to one another, even in the absence of other agents for achieving a bond, although such agents can be used while also taking advantage at least in part of the dehydration-induced bonding. With sufficient compression and dehydration, the ECM materials can be caused to form a generally unitary ECM structure.

It is advantageous in some aspects of the invention to perform drying operations under relatively mild temperature exposure conditions that minimize deleterious effects upon the ECM materials of the invention, for example native collagen structures and potentially bioactive substances present. Thus, drying operations conducted with no or substantially no duration of exposure to temperatures above human body temperature or slightly higher, say, no higher than about 38° C, will preferably be used in some forms of the present invention. These include, for example, vacuum pressing operations at less than about 38° C, forced air drying at less than about 38° C, or either of these processes with no active heating - at about room temperature (about 25° C) or with cooling. Relatively low temperature conditions also, of course, include lyophilization conditions.

In yet still further applications, a remodelable gel can be formed from fluidized compositions, as illustrated in U.S. Patent Nos. 5,275,826, 5,516,533, 6,206,931, 6,444,229 and/or in International Publication No. WO2005020847 (Cook Biotech Incorporated) published March 10, 2005, which are each hereby incorporated by reference in their entirety. In this regard, solutions or suspensions of ECM can be prepared by comminuting and/or digesting ECM with a protease (e.g. trypsin or pepsin), for a period of time sufficient to solubilize the ECM and form substantially a homogenous solution. The ECM starting material is desirably comminuted by tearing, cutting, grinding, shearing or the like. Grinding the ECM in a frozen or freeze-dried state is advantageous, although good

results can be obtained as well by subjecting a suspension of pieces of the submucosa to treatment in a high speed blender and dewatering, if necessary, by centrifuging and decanting excess waste. The  
5 comminuted ECM can be dried, for example freeze dried, to form a powder. Thereafter, if desired, the powder can be hydrated, that is, combined with water or buffered saline and optionally other pharmaceutically acceptable excipients, to form a fluid medical graft  
10 composition, e.g. having a viscosity of about 2 to about 300,000 cps at 25°C. The higher viscosity graft compositions can have a gel or paste consistency. This gelatinous medical graft composition can be placed between valve leaflets before securing the leaflets  
15 together in order to induce the leaflets to grow together.

Additionally, such gelatinous or flowable materials can include solubilized and/or particulate ECM components, and in preferred forms include ECM gels  
20 having suspended therein ECM particles, for example having an average particle size of about 50 microns to about 500 microns, more preferably about 100 microns to about 400 microns. The ECM particulate can be added in any suitable amount relative to the solubilized ECM  
25 components, with preferred ECM particulate to ECM solubilized component weight ratios (based on dry solids) being about 0.1:1 to about 200:1, more preferably in the range of 1:1 to about 100:1. The inclusion of such ECM particulates in the ultimate gel  
30 can serve to provide additional material that can function to provide bioactivity to the gel (e.g. itself including FGF-2 and/or other growth factors or bioactive substances as discussed herein) and/or serve



as scaffolding material for tissue ingrowth. Flowable ECM materials can also be used in conjunction with other occlusive devices as described herein, or otherwise.

5       The invention also encompasses medical products or kits, such as a prosthesis device of the invention configured to connect two or more valve leaflets, or a catheter loaded with at least one such prosthesis device sealed within sterile medical packaging. The  
10 final, packaged product is provided in a sterile condition. This may be achieved, for example, by gamma, e-beam or other irradiation techniques, ethylene oxide gas, or any other suitable sterilization technique, and the materials and other properties of  
15 the medical packaging will be selected accordingly. The prosthesis device may be packaged wet or after it is dried.

Surgical methods in accordance with the present invention can be used to modify vascular valves in  
20 mammalian patients, including humans. Preferred surgical methods of the invention find particular utility in repairing venous valves, such as in deep veins or superficial veins. For example, surgical methods of the invention are used with preference in  
25 the treatment of venous valve insufficiency.

All publications cited herein are hereby incorporated herein by reference in their entirety as if each had been individually incorporated by reference and fully set forth.

30       While the invention has been illustrated and described in detail in the drawings and foregoing description, the same is to be considered as illustrative and not restrictive in character, it being

understood that only the preferred embodiment has been shown and described and that all changes and modifications that come within the spirit of the invention are desired to be protected.

## WHAT IS CLAIMED IS:

1. A method for modifying a vascular valve in a patient, comprising:
  - 5 connecting at least two valve leaflets in a vascular valve in at least one location with a remodelable material, so as to promote tissue of the patient to remodel and connect said leaflets.
  2. The method of claim 1, wherein said  
10 remodelable material comprises an extracellular matrix material.
  3. The method of claim 2, wherein said extracellular matrix material comprises submucosa, dura mater, pericardium, renal capsule membrane, or basement  
15 membrane.
  4. The method of claim 3, wherein said submucosa comprises mammalian submucosa.
  5. The method of claim 4, wherein said mammalian submucosa comprises porcine, bovine, or ovine  
20 submucosa.
  6. The method of claim 5, wherein said porcine submucosa comprises adult porcine submucosa.
  7. The method of claim 4, wherein said mammalian submucosa comprises intestinal submucosa, stomach  
25 submucosa, urinary bladder submucosa, or uterine submucosa.
  8. The method of claim 1, wherein said remodelable material comprises a bioabsorbable synthetic polymer.
  - 30 9. The method of claim 1, wherein said remodelable material is a hybrid of extracellular matrix material and bioabsorbable synthetic polymer.

10. The method of claim 2, wherein said extracellular matrix material is in the form of a suture:

11. A method for modulating the flow of blood in  
5 a vascular vessel, comprising:

connecting together a region of at least two valve leaflets in a vascular valve with an extracellular matrix material.

12. The method of claim 11, wherein said vascular  
10 valve is a venous valve.

13. The method of claim 12, wherein said vascular valve has two valve leaflets.

14. The method of claim 13, wherein said valve leaflets are connected at the center of each said  
15 leaflet.

15. The method of claim 13, wherein said valve leaflets are connected in at least one location proximate the junction of each valve leaflet to the common wall of said vessel.

20 16. The method of either claim 14 or 15, wherein said extracellular matrix material is connected to each valve leaflet at least in part by suture.

17. The method of either claim 14 or 15, wherein said extracellular matrix is a multilaminate sheet of  
25 extracellular matrix material.

18. The method of either claim 14 or 15, wherein said extracellular matrix material comprises a twisted band of mammalian submucosa.

19. The method of either claim 14 or 15, wherein  
30 said extracellular matrix material comprises a gel.

20. A method for treating a venous insufficiency in a leg of a patient, comprising:

connecting a first valve leaflet in a venous valve to a second valve leaflet in the venous valve with at least one bioremodelable material, so as to promote tissue of the patient to remodel said bioremodelable material to connect said first valve leaflet to said second valve leaflet.

21. The method of claim 20, wherein said bioremodelable material is delivered to said venous valve with a delivery device.

22. The method of claim 21, wherein said delivery device comprises a catheter.

23. The method of claim 21, wherein said first valve leaflet and said second valve leaflet are connected with said bioremodelable material by securing said bioremodelable material to each valve leaflet.

24. The method of claim 23, wherein said securing comprises stapling.

25. The method of claim 20, wherein said bioremodelable material is placed between said first valve leaflet and said second valve leaflet and is secured with one or more staple, suture, and/or clip.

26. The method of claim 25, wherein said bioremodelable material comprises a gel form ECM material.

27. The method of claim 25, wherein said bioremodelable material is small intestine submucosa and said clip comprises an ECM material.

28. The method of claim 20, wherein:

said bioremodelable material is delivered to  
said venous valve with a delivery device,

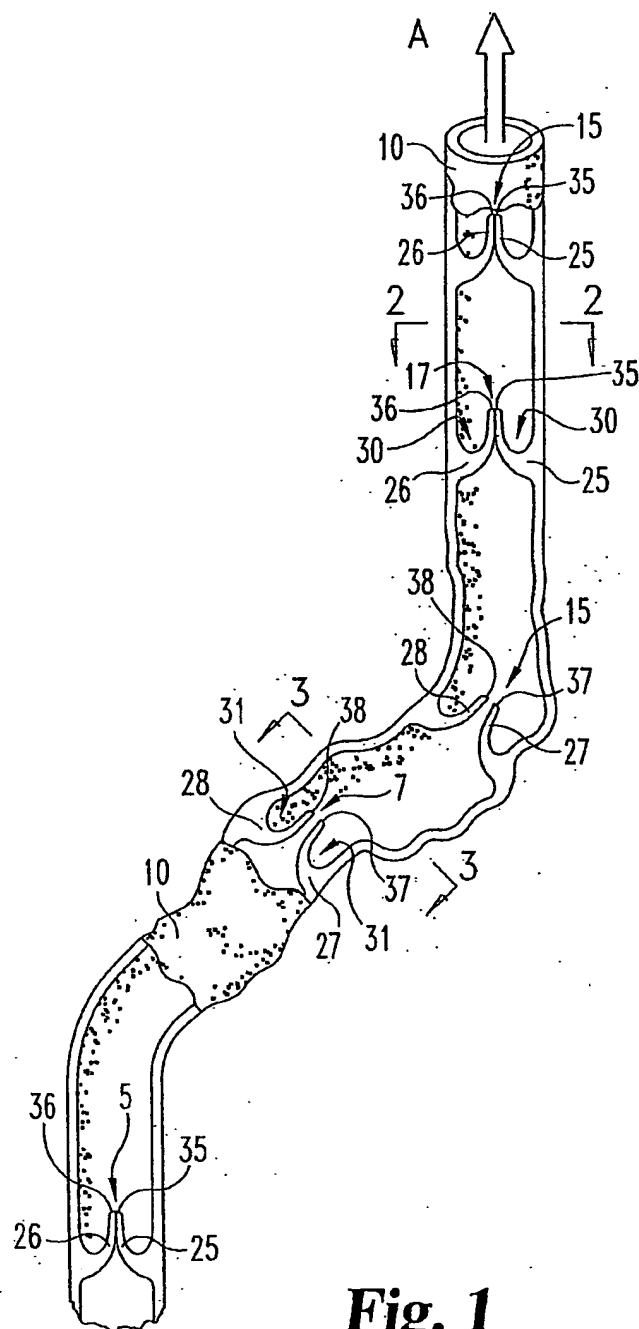
said bioremodelable material is positioned with a  
5 positioning device at a first location on said first  
valve leaflet and at a second location on said second  
valve leaflet; and

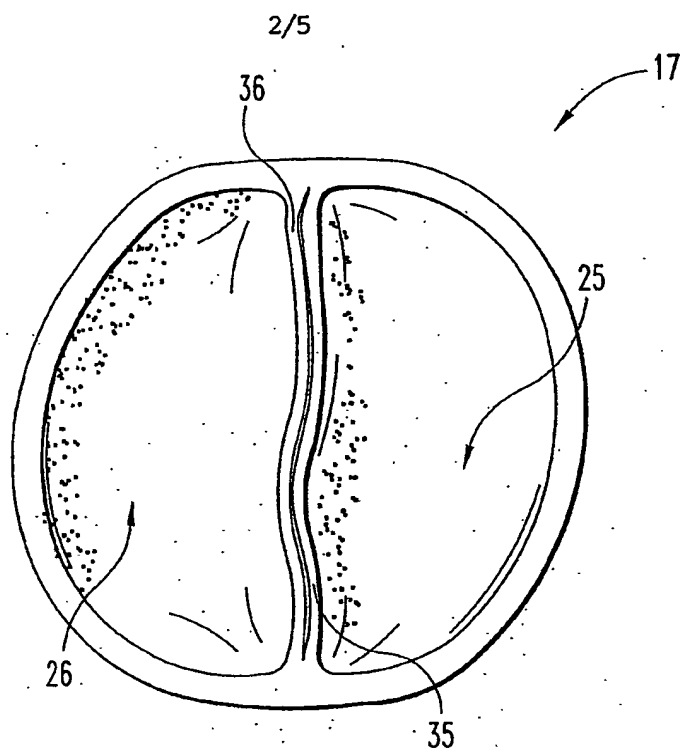
said bioremodelable material is secured to said  
first valve leaflet at said first location and to said  
10 second valve leaflet at said second location.

29. The method of claim 28, wherein said  
positioning device is a catheter.

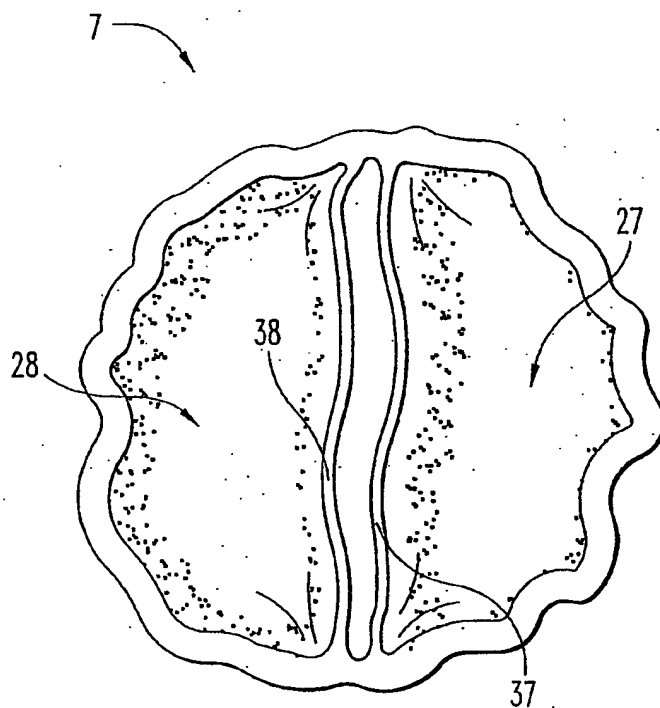
30. The method of claim 28, wherein said  
bioremodelable material is secured at least in part  
15 with staples.

1/5

**Fig. 1**

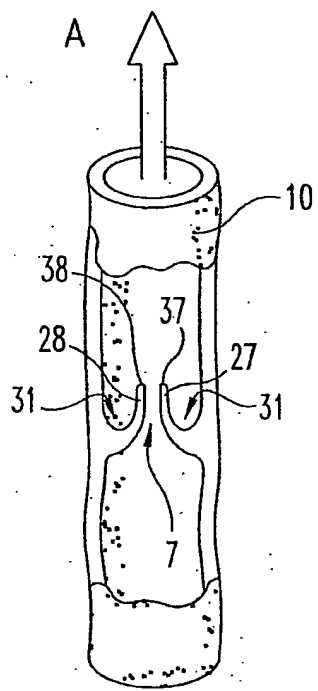
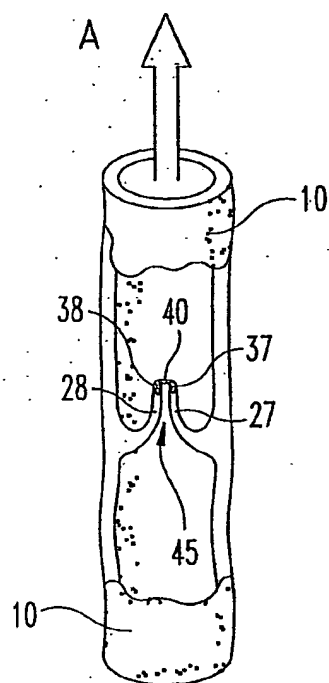


**Fig. 2**

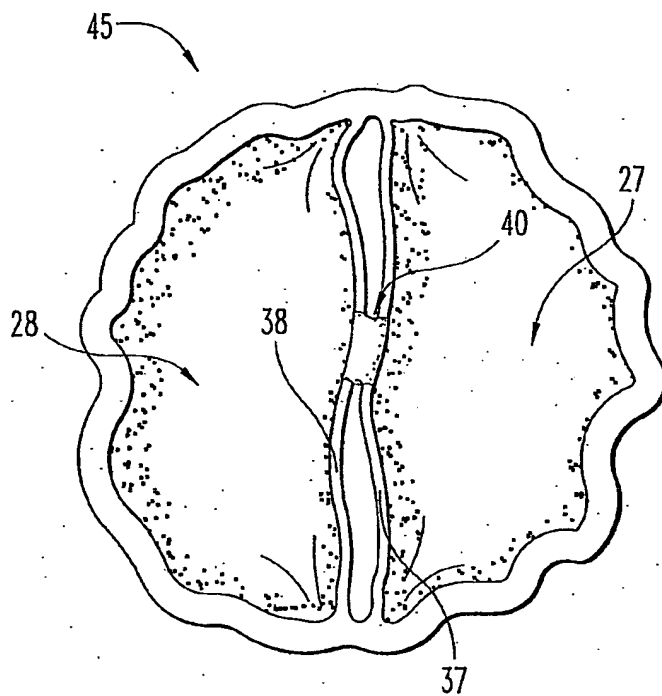


**Fig. 3**

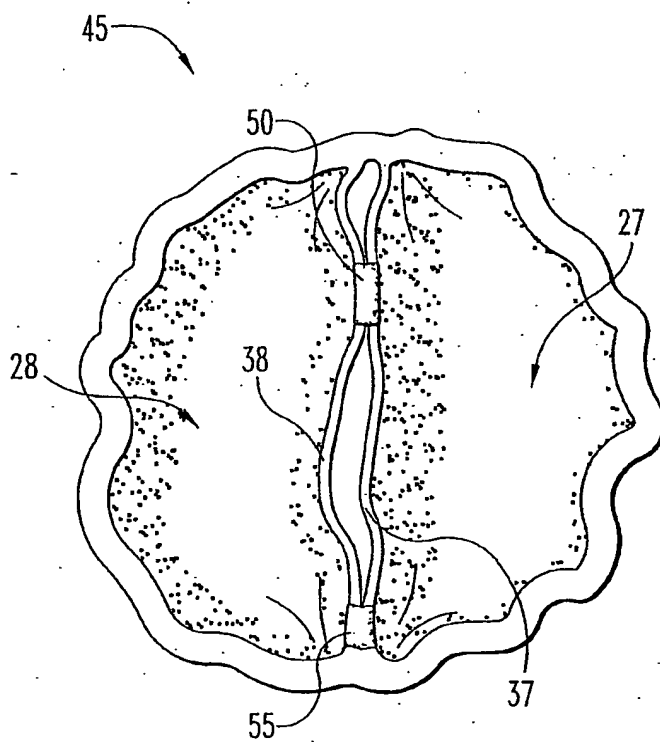


**Fig. 4****Fig. 5**

4/5

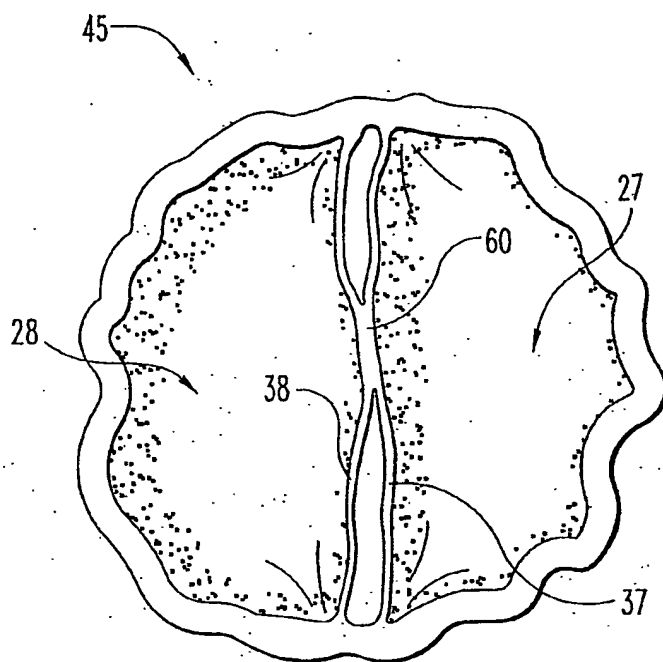


**Fig. 6**

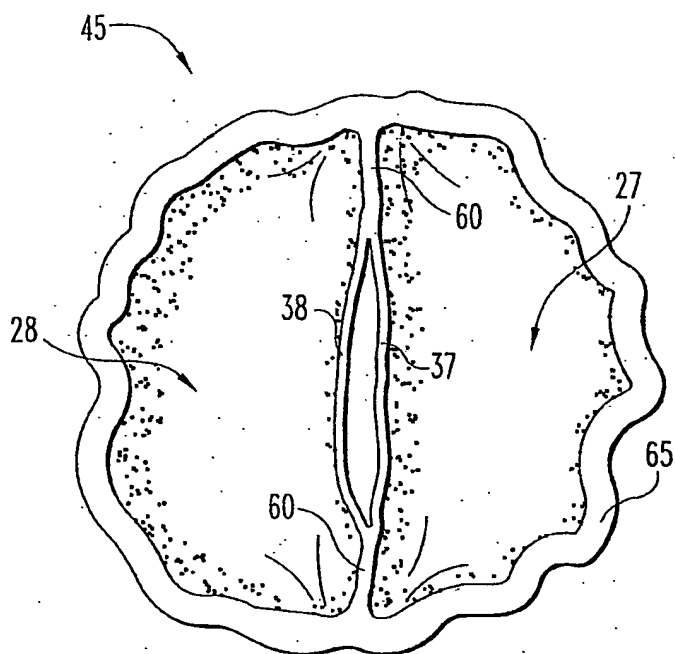


**Fig. 7**

5/5



**Fig. 8**



**Fig. 9**

# PATENT COOPERATION TREATY

## PCT

### DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT

(PCT Article 17(2)(a), Rules 13ter.1(c) and Rule 39)

Applicant's or agent's file reference 30061782COOK	IMPORTANT DECLARATION	Date of mailing(day/month/year) 28/02/2006
International application No. PCT/US2005/039834	International filing date(day/month/year) 27/10/2005	(Earliest) Priority date(day/month/year) 28/10/2004
International Patent Classification (IPC) or both national classification and IPC A61B17/00, A61F2/24		
Applicant COOK INCORPORATED		

This International Searching Authority hereby declares, according to Article 17(2)(a), that **no international search report will be established** on the international application for the reasons indicated below

1. ☒ The subject matter of the international application relates to:

- a. ☐ scientific theories
- b. ☐ mathematical theories
- c. ☐ plant varieties
- d. ☐ animal varieties
- e. ☐ essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes
- f. ☐ schemes, rules or methods of doing business
- g. ☐ schemes, rules or methods of performing purely mental acts
- h. ☐ schemes, rules or methods of playing games
- i. ☒ methods for treatment of the human body by surgery or therapy
- j. ☒ methods for treatment of the animal body by surgery or therapy
- k. ☐ diagnostic methods practised on the human or animal body
- l. ☐ mere presentations of information
- m. ☐ computer programs for which this International Searching Authority is not equipped to search prior art

2. ☒ The failure of the following parts of the international application to comply with prescribed requirements prevents a meaningful search from being carried out:


- ☐ the description      ☒ the claims      ☐ the drawings

3. ☐ A meaningful search could not be carried out without the sequence listing; the applicant did not, within the prescribed time limit:

- ☐ furnish a sequence listing on paper complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Searching Authority in a form and manner acceptable to it.
- ☐ furnish a sequence listing in electronic form complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Searching Authority in a form and manner acceptable to it.
- ☐ pay the required late furnishing fee for the furnishing of a sequence listing in response to an invitation under Rule 13ter.1(a) or (b).

4. ☐ A meaningful search could not be carried out without the tables related to the sequence listings; the applicant did not, within the prescribed time limit, furnish such tables in electronic form complying with the technical requirements provided for in Annex C-bis of the Administrative Instructions, and such tables were not available to the International Searching Authority in a form and manner acceptable to it.

5. Further comments:

Name and mailing address of the International Searching Authority  European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  Bruno Gamboa Susin
--	--

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 203

A meaningful search is not possible on the basis of all claims because all claims are directed to - Method for treatment of the human or animal body by surgery - Rule 39.1(iv) PCT

A meaningful search is not possible on the basis of all claims because all claims are directed to - Method for treatment of the human or animal body by therapy - Rule 39.1(iv) PCT

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.